

A case study in system failure: NZ's Mangatepopo Tragedy

WRMC Oct. 2011

Jeff Jackson

Professor, Coordinator
Outdoor Adventure Programs
Algonquin College in the Ottawa Valley



Presentation Outcomes:

1. Present existing analysis of event within context of system failure

2. Provide framework for understanding how individuals, systems, and organizations interact in crisis situations





"It takes just the right combination of circumstances to produce a catastrophe."

Perrow (1999) author of Normal Accident Theory



The Fallout

Coroner's inquest

Devonport, C.J. (March 30, 2010). Report of Coroner, In The Coroner's Court held at Auckland, February 15 to February 19, 2010.

Internal Review as per OPC Trustees

Media interest (long running)

ithout the consent of the author.



Operator Error vs. Latent / System errors

Organizational shell

Environment

Unsafe act

Human elemeติ



The Fallout

Coroner's inquest

Devonport, C.J. (March 30, 2010). Report of Coroner, In The Coroner's Court held at Auckland, February 15 to February 19, 2010.

- Internal Review as per OPC Trustees
- NZ Dept. of Labour charges

under Health and Safety Employment Act (OPC pleads guilty of 2 charges, \$480,000 fines)

 NZ implements national safety regulations and auditing system

Making it an offence to provide activities involving significant hazards and some level of instruction or leadership without a current safety audit certificate, as of Octube 2011



"Human error is a consequence, not a cause."

Reason (1997), Managing the Risks of Organizational Accidents



Systems based investigation model:

Based on Snook (2000)

Active Error:
Individual
sensemaking
and contributing
actions

Role definitions:

Role definition,
authority, and group
contribution

Latent conditions: Organizational factors

ESS RISK MANAGEMENT CONFERENCE
S.edu/wrmc | (800) 710-6657 x3

I his document may not be reproduced without the consent of the author.



Operator vs. System induced error *

Substitution test:

'Given how events unfolded and were perceived in real time,

is it likely that a new individual, with the same training and experience

would have behaved any differently?'

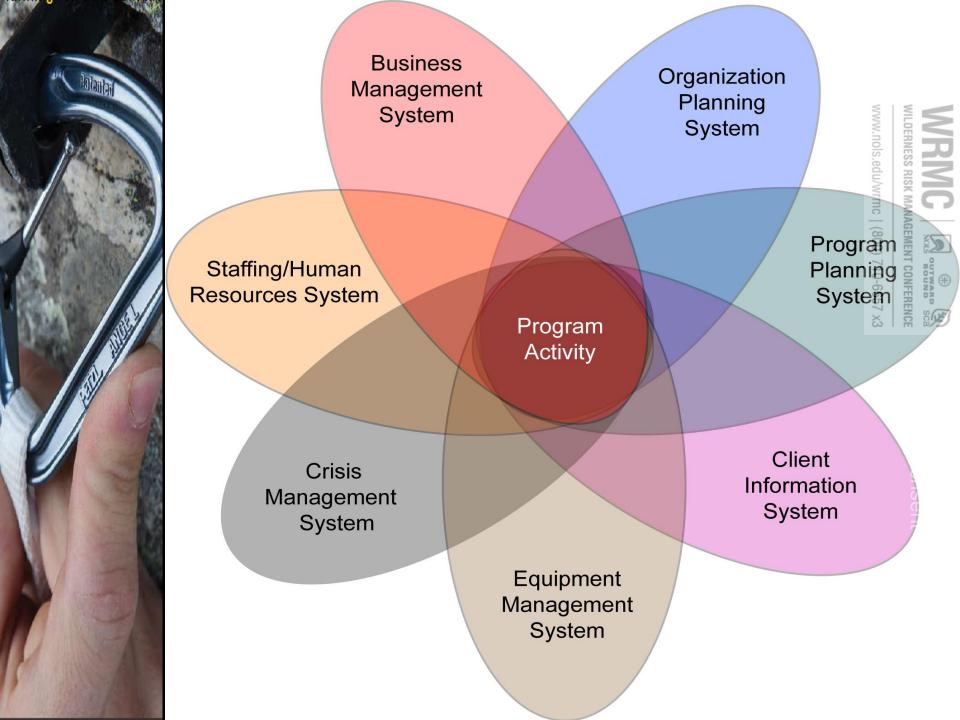
Johnston (1995)

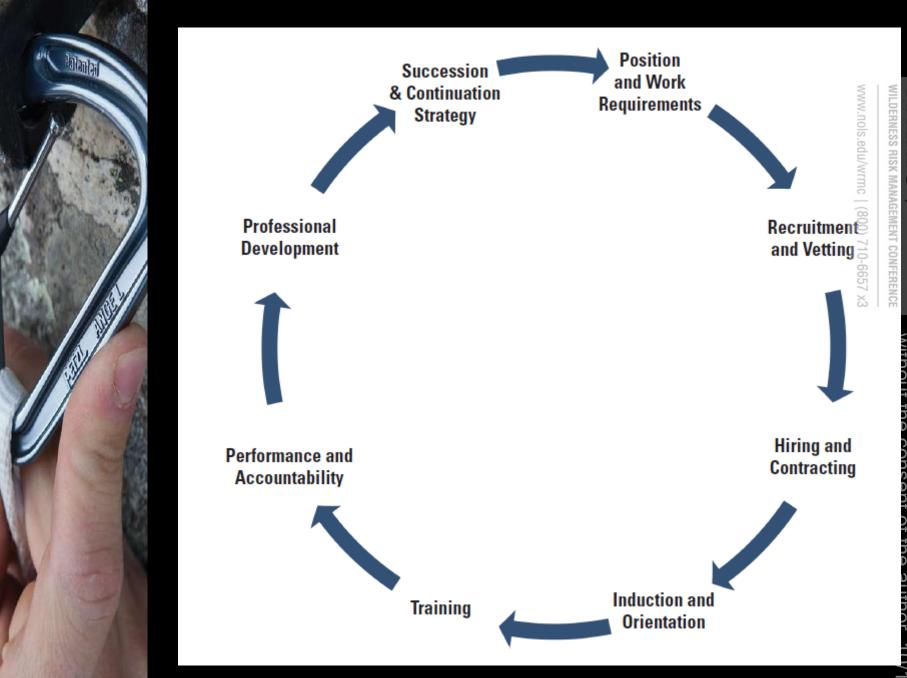


Systems Failure:

- 1. Risk tolerance
- 2. Systems errors
- 3. Operational features

Latent conditions: Organizational factors





NESS RISK MANAGEMENT CONFERENCE

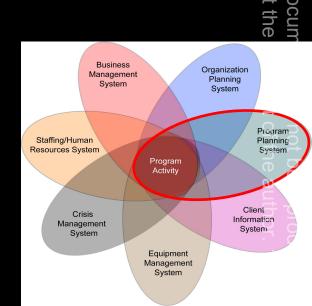
This document may not be reproduced



OPC systems failure: Program Planning System

- Risk and skill
- Solo instructing
- No map!
- Hazard identification

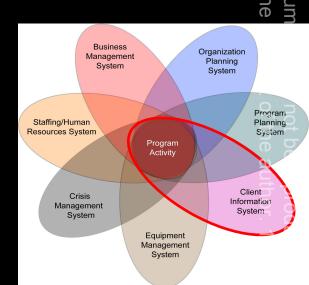
 Practical drift and check in procedure





OPC systems failure: Client Information System

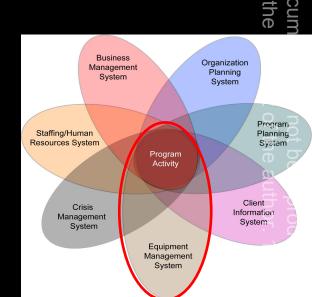
- Informed consent*
- Challenge by choice
- Swim confidence vs. ability





OPC systems failure: Equipment Mgt. System

Radio communication





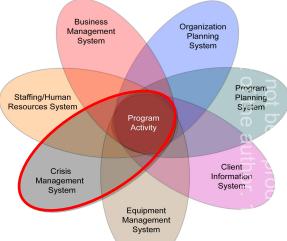
OPC systems failure: Crisis Mgt. System

- Non-clicking <u>triggers</u>*
- Gorge rescue plan
- Rescue resources

Learning









Non-clicking Triggers

Gradual change research
 http://www.youtube.com/watch?v= 1Cp3Ux85IE

Return to <u>slideshow</u>



OPC systems failure: Staffing/HR System

Root causes:

- Failure to maintain staff & supervise*
- 2. Learning lost / turnover
- 3. Production pressure
- 4. Competency based assessment

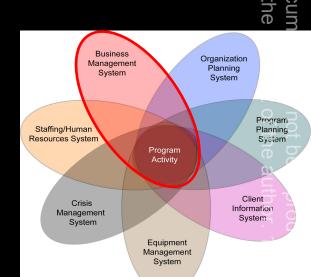
Business Organization Management Planning System Program Staffing/Human Resources System System Program Activity Client Information Management System System Equipment Management System





OPC systems failure: Business Mgt. System

"Culture of Production" vs. culture of safety

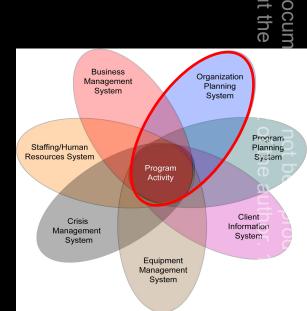




OPC systems failure: Organizational Planning System

- Risk tolerance:
 - Explicit vs. implied*

 Over confidence in systems*







We can change the conditions

under which they work and makes

unsafe acts less likely." Reason (1997)



Key learning:*

- 1. Risk tolerance: explicit vs. implied
- 2. Train to failure recognize nonclicking triggers
- 3. System function recognize non-
- 4. Do my supervisors 'supervise'?
- 5. Have we forgotten to be afraid?



References / further reading

Jackson, J. (2010). The Mangatepopo Tragedy: A case study in systems failure in Managing Risk, Systems Planning for Outdoor Adventure Programs, Direct Bearing Inc., Palmer Rapids, ON.

Jackson, J. & Heshka, J. (2010). Managing Risk, Systems Planning for Outdoor Adventure Programs, Direct Bearing Inc. Palmer Rapids, ON.

Johnston, N. (1995). Do blame and punishment have a role in organizational risk management? Flight Deck, Spring 1995.

Outdoor Pursuits Centre, NZ: www.opc.org.nz/safety

Perrow, C. (1999). Normal Accidents, Living with high risk technologies. Princeton University Press, Princeton, N.J.; reprint of 1984 Basic Books.

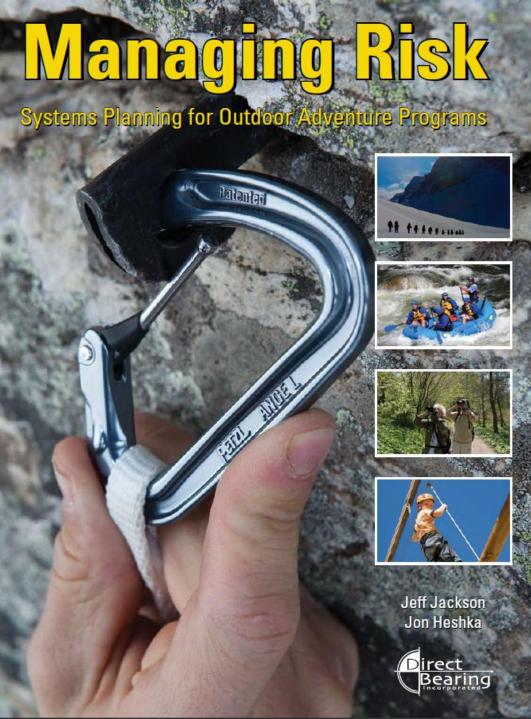
Reason, J. (1990). Human Error, Cambridge University Press, New York, NY.

Reason, J. (1997). Managing the Risks of Organizational Accidents. Ashgate, Aldershot, England.

Reason, J. T. (2001). Understanding adverse events: the human factor. In C. Vincent (Ed.), Clinical risk managements: Enhancing patient safety (2 ed., pp. 9--30). London: BMJ Books.

Snook, S. (2000). Friendly Fire. The accidental shootdown of U.S. Black Hawks over Northern Iraq. Princeton University Press, Princeton, N.J.

Weick, K. (1988). 'Enacted Sensemaking in Crisis Situations'. Journal of Management Studies 25:4



Book info:

<u>The Managing Risk Book com</u>

Adventure Risk Report

AdventureRiskReport.blogspot.com

consent

<u>Jeff.Jackson@algonquincollege.com</u>

uin College

or. 10/1